

FORM PTO-1550 (Modified) (REV 11-2000)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	APPLICANT'S DOCKET NUMBER 44342.013500
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371			U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR 10/069192
INTERNATIONAL APPLICATION NO. PCT/JP00/02616	INTERNATIONAL FILING DATE 21 April 2000	PRIORITY DATE CLAIMED 23 April 1999	

TITLE OF INVENTION
METHOD FOR PULVERIZING TO FINE POWDER

APPLICANT(S) FOR DO/EO/US
NIPPON SHINYAKU CO., LTD.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- 1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
- 2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
- 3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below.
- 4. ☐ The US has been elected by the expiration of 19 months from the priority date (Article 31).
- 5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c) (2))
 - a. ☒ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
- 6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☒ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
- 7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
- 8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
- 9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)). (**Executed**)
- 10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).
- 11. ☒ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
- 12. ☒ A copy of the International Search Report (PCT/ISA/210).

Items 13 to 20 below concern document(s) or information included:

- 13. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
- 14. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
- 15. ☒ A **FIRST** preliminary amendment.
- 16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
- 17. ☐ A substitute specification.
- 18. ☐ A change of power of attorney and/or address letter.
- 19. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.
- 20. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
- 21. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
- 22. ☒ Certificate of Mailing by Express Mail
- 23. ☒ Other items or information:
Copy of the first page of the published International Application Number WO00/64875.

U.S. APPLICATION NO. (IF KNOWN, SEE 10/067192)	INTERNATIONAL APPLICATION NO. PCT/JP00/02616	ATTORNEY'S DOCKET NUMBER 44342.013500
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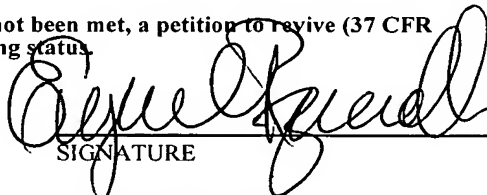
24. The following fees are submitted:				CALCULATIONS PTO USE ONLY	
BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) :					
<input type="checkbox"/>	Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO	\$1040.00			
<input checked="" type="checkbox"/>	International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO	\$890.00			
<input type="checkbox"/>	International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO	\$740.00			
<input type="checkbox"/>	International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4)	\$710.00			
<input type="checkbox"/>	International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4)	\$100.00			
ENTER APPROPRIATE BASIC FEE AMOUNT =				\$890.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492 (e)). <input type="checkbox"/> 20 <input type="checkbox"/> 30				\$0.00	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	7 - 20 =	0	x \$18.00	\$0.00	
Independent claims	2 - 3 =	0	x \$84.00	\$0.00	
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>				\$0.00	
TOTAL OF ABOVE CALCULATIONS =				\$890.00	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27). The fees indicated above are reduced by 1/2.				\$0.00	
SUBTOTAL =				\$890.00	
Processing fee of \$130.00 for furnishing the English translation later than months from the earliest claimed priority date (37 CFR 1.492 (f)). <input type="checkbox"/> 20 <input type="checkbox"/> 30 +				\$0.00	
TOTAL NATIONAL FEE =				\$890.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable). <input checked="" type="checkbox"/>				\$40.00	
TOTAL FEES ENCLOSED =				\$930.00	
				Amount to be: refunded	\$
				charged	\$

- a. ☐ A check in the amount of _____ to cover the above fees is enclosed.
- b. ☒ Please charge my Deposit Account No. 50-1561 in the amount of \$930.00 to cover the above fees. A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-1561. A duplicate copy of this sheet is enclosed.
- d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Eugene C. Rzucidlo, Esq.
 GREENBERG TRAURIG, LLP
 885 Third Avenue, 21st Floor.
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 Telephone Number: 212-801-2146
 Facsimile Number: 212-688-2449


 SIGNATURE

Eugene C. Rzucidlo

NAME

31,900

REGISTRATION NUMBER

10/23/01

DATE

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)			Docket No. 44342.013500	
Applicant(s): NIPPON SHINYAKU CO., LTD.				
Serial No. 10/069192	Filing Date October 23, 2001	Examiner N/Y/A	Group Art Unit N/Y/A	
Invention: METHOD FOR PULVERIZING TO FINE POWDER				
<p>Transmittal Letter to the United States Designated/Elected Office, Copy of the first page of the Published International Application No. W000/64875, the English translation of the International Preliminary Examination Report, English Translation of International Search Report, Executed Declaration and Power of Attorney, Recordation Form Cover Sheet, Executed Assignment, Patent Specification, I hereby certify that this <u>Preliminary Amendment, and Return Postcard</u> (Identify type of correspondence)</p> <p>is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Commissioner of Patents and Trademarks, Washington, D.C. 20231-0001 on <u>10/23/01</u> (Date)</p> <p style="text-align: right;">Cindy Carneal (Typed or Printed Name of Person Mailing Correspondence)</p> <p style="text-align: right;"><u>Cindy Carneal</u> (Signature of Person Mailing Correspondence)</p> <p style="text-align: right;">EL729289952US (("Express Mail" Mailing Label Number)</p>				

Note: Each paper must have its own certificate of mailing.